


01-29-01

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EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Margaret D. Pierce	Express Mail No.	EL 563 388 449 US
Signature		Date	January 26, 2001

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO.	24353
Docket No.	IRVN-005CIP
First Named Inventor	Thompson et al.
Title:	"TREATING TUMORS USING IMPLANTS COMPRISING COMBINATIONS OF ALLOGENEIC CELLS"

U.S. PTO  
09/771263

01/26/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents  
Address to: Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form -in duplicate
2. ☒ Specification Total Pages 66  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets 07
4. ☒ Oath or Declaration (in counterparts) Total Sheets 4
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
  - c. ☐ Unsigned

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

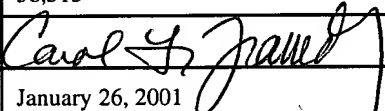
ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ The Undersigned hereby asserts that Applicants are entitled to Small Entity status as defined under 37 CFR § 1.27.
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: Application Cover Page

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☒ Continuation-in-part (CIP) of prior application No. 09/169,561, filed October 9, 1998.

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new non-provisional applications under 37 CFR 1.53(b))

17. CORRESPONDENCE ADDRESS			
Individual Name	Carol L. Francis		
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
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SIGNATURE of Applicant or Assignee of Record	
Individual Name	Carol L. Francis
Registration No.	36,513
Signature	
Date	January 26, 2001

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# FEE TRANSM AL

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.

CUSTOMER NO.	24353
Attorney Docket Number	VN-005CIP
First Named Inventor	Thompson et al.
Application Number	Unassigned
Filing Date	Herewith (January 26, 2001)
Group Art Unit	Unassigned
Examiner Name	Unassigned

JP985 U.S. PTO  
09/77/1263  
01/26/01

## METHOD OF PAYMENT

The Undersigned hereby asserts that Applicants are entitled to Small Entity Status as defined under 37 CFR § 1.27.  
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.  
Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	710	201	355	Utility filing fee	\$355.00
102	320	206	160	Design filing fee	
104	490	207	245	Plant filing fee	
109	710	208	355	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$355.00

### 2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims 24	20	4	\$9.00	\$36.00
Ind. claims 1	3	0	\$40.00	\$0.00
Multiple Dependent claims				

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	80	209	40	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of and over original patent	
Subtotal (2)					\$36.00

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	890	217	445	Ext. for reply within third month	
128	1,890	228	945	Ext. for reply within fifth month	
120	310	220	155	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,240	242	620	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	710	246	355	Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	440	243	220	Design issue fee	
581	40	581	40	Recording patent assignment	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147	2,520	Filing a request for reexamination	
116	390	216	195	Ext. for reply within second month	
118	1,390	218	695	Ext. for reply within fourth month	
119	310	219	155	Notice of Appeal	
121	270	221	135	Request for oral hearing	
141	1,240	241	620	Petition to revive - unintentional	
122	130	122	130	Petitions to the Commissioner	
126	180	126	180	Submission of IDS	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
144	600	244	300	Plant issue fee	
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)\$0.00

**TOTAL AMOUNT TO BE CHARGED (\$391.00)**

### SUBMITTED BY

Typed or Printed Name	Carol L. Francis, BOZICEVIC, FIELD & FRANCIS LLP	Reg. Number	36,513
Signature	<i>Carol L. Francis</i>	Date	January 26, 2001
		Deposit Account	50-0815

Atty Docket No. IRVN-005CIP  
UC Reference: 98-052-3

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Signature of Person Mailing Paper or Fee

## CONTINUATION-IN-PART PATENT APPLICATION

## “TREATING TUMORS USING IMPLANTS COMPRISING COMBINATIONS OF ALLOGENEIC CELLS”

[illegible]

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Menlo Park, CA 94025